

**APPLICATION FOR THE CO-FINANCING OF
EXPENSES IN NATIONAL PHASES**

**REGULATION FOR THE CREATION OF TECHNOLOGY-BASED
COMPANIES OF IISPV**

PREAMBLE

The Fundació Institut d'Investigació Sanitària Pere Virgili's Board of Trustees (hereafter IISPV) ratified the IISPV's rules concerning industrial and intellectual property during a board meeting on July 10, 2020. In its meeting on October 13, 2020, the IISPV Delegate Commission established rules governing the IISPV's co-financing of expenses involved with intellectual property protection and other registrations in national phases.

Its article 23 describes

Article 23. IISPV co-financing of expenses related to patent protection, maintenance and other forms of intellectual property in national phases.

As some IISPV patents reach national stages and researchers are calling for continuity in patent maintenance, the implementation of a sustainable funding model may be a mechanism to encourage researcher to innovate and transfer.

The maximum amount to be co-financed by the IISPV would in no case exceed 33% of the total national phase expenditure. However, this percentage could be increased if, at the request of the PPI committee and with the authorization of the delegate committee it is considered appropriate, for reasons in the interest of the Institute, which will be subject to the existence of budgetary availability.

IISPV co-financing requires that the following requirements are met:

- Existence of favourable reports (positive evaluations of external calls, independent external consultants with evaluation and/or due diligence report, others valid for the IISPV PPI committee that demonstrate evidence of a possible interest by a third party)
- Existence of innovation projects, based on the patent and/or working technology in the last three years.
- Emerging or consolidated group with proven scientific capabilities (continued funding in the last 4 years for competitive projects).
- Proven sufficient financial capacity to meet the cost of the national phases by the group in accordance with the estimated budget.

It shall fall with the delegate committee to approve co-financing in national phases at the proposal of the PPI committee (Administrators/Management/PPI Technician).

A maximum annual amount of €25,000 for all requests. This figure may be revised in accordance with the budgetary availability of the Institute and such amendment shall be approved by the delegate committee. This co-financing will be reviewed annually and will require the approval of the delegate committee prior to the report by the PPI committee.

The annual calculation formula is set out below, if the requirements of the previous slide are met,

- Maximum annual co-financing limit for all patents/technology; €25,000/year.
- 80% of the financing (€20,000/year).
 - First €10,000 without any restrictions.
 - From €10,000 to €20,000, the amount will be calculated according to the formula,
 - Co-financing equivalent to 50% of the fees retained in favour of the Institute in the last two years linked to the patent subject to co-financing, with a limit of €10,000.
- 20% of the deposit (€5,000), linked to the existence of an agreement with an investor, spin-off, or co-development in reference to the exploitation rights of the patent.
- Maximum co-financing limit per patent, €50,000 in three years
- Maximum unlimited contribution limit €20,000
- Maximum contribution limit linked to fees, (50% fees), €20,000
- Maximum co-financing limit linked to the existence of an agreement with an investor, spin-off, in reference to the exploitation rights of the patent, €10,000, (annual limit €5,000 * 2 years).

The application form for co-financing expenses in national phases must be filled in, Appendix 1.

APPENDIX 1.

APPLICATION FOR THE CO-FINANCING OF EXPENSES IN NATIONAL PHASES

Please fill in the boxes in grey, the boxes in yellow will be filled in by the IISPV

Contact person during the processing of the application:		
First and last name:		
Principal Investigator:		
Research group:	Emerging Group	Consolidated Group
Phone:	e-mail:	

1.- PATENT/INVENTION TITLE:

2.- DETAILS OF APPLICANTS:

IISPV:

First and last name	DNI	Research group	% Authorship
1.			
2.			
3.			
Total % IISPV authorship			

Other Entities:

First and last name	DNI	Entity	% Authorship

4.			
5.			
6.			
			Total %

There is a joint ownership agreement between the parties: Yes No

Signature of all applicants

Date:

1.	2.	3.
4.	5.	6.

3.- GENERAL DESCRIPTION OF THE INVENTION, RESEARCH ON WHICH THE PATENT IS BASED

Briefly (maximum 300 words) describe the object of the invention, what it consists of what technical problem it solves and what advantages it contributes with respect to the state of the art.

4.- APPLICATIONS OF THE INVENTION

Briefly describe the industrial applications of the invention and clearly indicate the problem solved by the device or procedure of the invention (maximum 200 words).

The invention is considered new because:

- Nothing similar has been found in patent databases.
- Nothing similar has been found in the scientific literature consulted.
- Nothing similar has been found in a full search report.

5.- EXPLOITATION AND MARKETING

It is considered that it would be a product (procedure) with possibly:

- High Medium Low

commercial success.

Have you contacted any companies for possible exploitation?

- YES NO

If so, with which one(s)?

If not, or if applicable, please answer the following question

Do you know any companies that might be interested?

- YES NO

Could you indicate which one(s)?

The patent market is:

Exclusively national.

International (check):

USA.

Europe

Japan

North America

Africa

Others

6.- TARGET SECTORS (INDICATE BOTH THE SECTOR THAT COULD PRODUCE IT AND THE ONE THAT WOULD USE IT):

SECTOR	Production	Use
0. Agriculture.....	<input type="checkbox"/>	<input type="checkbox"/>
1. Extractive and oil industries.....	<input type="checkbox"/>	<input type="checkbox"/>
2. Food, drinks, tobacco.....	<input type="checkbox"/>	<input type="checkbox"/>
3. Textiles, clothing, leather and footwear.....	<input type="checkbox"/>	<input type="checkbox"/>
4. Wood and cork.....	<input type="checkbox"/>	<input type="checkbox"/>
5. Paper, editing, graphic arts and reproduction.....	<input type="checkbox"/>	<input type="checkbox"/>
6. Chemistry and pharmacy.....	<input type="checkbox"/>	<input type="checkbox"/>
7. Rubber and plastic materials.....	<input type="checkbox"/>	<input type="checkbox"/>
8. Miscellaneous non-metallic mineral products.....	<input type="checkbox"/>	<input type="checkbox"/>
9. Metallurgy and manufacture of metal products.....	<input type="checkbox"/>	<input type="checkbox"/>
10. Machinery and mechanical equipment.....	<input type="checkbox"/>	<input type="checkbox"/>
11. Electrical, electronic and optical material and equipment..	<input type="checkbox"/>	<input type="checkbox"/>
12. Transport material.....	<input type="checkbox"/>	<input type="checkbox"/>
13. Miscellaneous manufacturing industries. Recycling.....	<input type="checkbox"/>	<input type="checkbox"/>

- | | | |
|-----------------------------------------------------|--------------------------|--------------------------|
| 14. Energy and water..... | <input type="checkbox"/> | <input type="checkbox"/> |
| 15. Biomedicine..... | <input type="checkbox"/> | <input type="checkbox"/> |
| 16. Trade and hospitality..... | <input type="checkbox"/> | <input type="checkbox"/> |
| 17. Transport and big data
communications..... | <input type="checkbox"/> | <input type="checkbox"/> |
| 18. Real estate, rentals and business services..... | <input type="checkbox"/> | <input type="checkbox"/> |
| 19. Public, social and collective services..... | <input type="checkbox"/> | <input type="checkbox"/> |

Please list the alternative products to your invention that already exist on the market today:

7.- DEGREE OF DEVELOPMENT OF THE INVENTION

Choose, from these options, the one or ones closest to the degree of development of the invention:

- It has been performed exclusively in the laboratory (study conducted only on an experimental level)
- Pilot test has been performed (extended study: design of prototypes; human studies, etc.)
- There is a prototype prepared for development and marketing.
- A number of developments should be carried out for its marketing or industrial implementation.

In the event that it is necessary to carry out its development for commercial exploitation, it would involve:

- High Medium Low

technical difficulty;

- High Medium Low

financial cost.

8.- DEGREE OF DISSEMINATION OF THE INVENTION

Has the object of the invention been previously disseminated?

YES

NO

If so, indicate means of dissemination, date and content (Thesis, publications, conferences,...)

The information requested below is required so that the IISPV can submit a co-financing proposal to the delegate committee. Please try to answer the sections that you can and leave blank the ones that you do not know how to answer to fill them together with the IISPV industrial and intellectual property officer.

Fulfilment of the requirements for Co-financing of the IISPV in national phases

Answer YES or NO

1) Existence of favourable reports, (positive evaluations of external calls, independent external consultants with evaluation report and/or due diligence, others valid for the IISPV PPI committee that show evidence of a possible interest of a third party)

If YES, describe the favourable reports,

2) Existence of innovation projects, based on the patent and/or work technology in the last three years:

If YES, please specify the innovation projects, Title, IISPV code, Funder

Emerging or consolidated group with proven scientific capabilities (continuous funding in the last 4 years for competitive projects)

If YES, please specify the innovation projects, Title, IISPV code, Funder

3) Proven sufficient financial capacity to meet the cost of the national phases by the group in accordance with the estimated budget.

Amount of available group resources,

Other group resources,

Economic Capacity YES NO

Estimated Co-financing Budget

<u>Country</u>	<u>Year 1</u>	<u>Year 2</u>	<u>Year 3</u>

TOTAL

Tarragona, on **f**

First and last name

First and last name

Researcher's signature

IISPV signature